

THE LEAST DOUBLING CONSTANT OF A METRIC MEASURE SPACE

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ABSTRACT. We study the least doubling constant $C_{(X,d)}$, among all doubling measures μ supported on a metric space (X, d) . In particular, we prove that for every metric space with more than one point, $C_{(X,d)} \geq \frac{1+\sqrt{5}}{2}$, the golden ratio. Moreover, for every complete metric space, as well as any space with an isolated point, we show that, in fact, $C_{(X,d)} \geq 2$. We also describe some further properties of $C_{(X,d)}$ and compute its value for several important examples.

1. INTRODUCTION AND MOTIVATION

Given a metric space (X, d) , a Borel regular measure μ on X is called doubling if there exists a constant $C \geq 1$ such that, for every $x \in X$ and $r > 0$,

$$(1) \quad \mu(B(x, 2r)) \leq C\mu(B(x, r)),$$

where $B(x, r) = \{y \in X : d(x, y) < r\}$. If this is the case, the metric measure space (X, d, μ) will be called a space of homogeneous type (cf. [5]). Given such (X, d, μ) , we will denote by C_μ the best possible constant appearing in (1); that is,

$$C_\mu = \sup_{x \in X, r > 0} \frac{\mu(B(x, 2r))}{\mu(B(x, r))}.$$

For convenience, let us introduce the following definition.

Definition 1.1. *Given a metric space (X, d) , we define the least doubling constant as*

$$C_{(X,d)} = \inf \{C_\mu : \mu \text{ doubling measure on } (X, d)\}.$$

If no doubling measure exists in (X, d) , we will write that $C_{(X,d)} = \infty$. We would like to note that all references we have found in the literature, place the constant $C_{(X,d)}$ in the interval $[1, \infty)$. One can easily check, unless the metric space reduces to a singleton, that $C_{(X,d)} > 1$. We will show that actually one always has the lower bound $C_{(X,d)} \geq \varphi = \frac{1+\sqrt{5}}{2}$ (Theorem 2.6). However, it will be shown that, in many cases, including for instance complete metric spaces, this estimate can be improved to $C_{(X,d)} \geq 2$. In fact, we know no example where $C_{(X,d)} \in [\varphi, 2)$.

In general, it is not true that on every metric space (X, d) one can always find such a doubling measure (e.g., $X = \mathbb{Q}$ with the standard euclidean distance; see also [14]). However, if a metric space (X, d) supports a non-trivial doubling measure, then there

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exists $K \in \mathbb{N}$ such that, for every $x \in X$ and $r > 0$, the number of r -separated points in $B(x, 2r)$ is bounded by K , where two points $x, y \in X$ are r -separated provided $d(x, y) \geq r$ (cf. [5] and Proposition 2.2 below). If this property holds, then we say that (X, d) is a doubling space (hence, a space of homogeneous type is doubling). Conversely, for compact [16], or more generally, complete metric spaces [13], being doubling (in the metric sense) implies the existence of a doubling measure (see also [9, 17] for related developments).

The doubling constant C_μ given above should not be confused with the doubling constant of a metric space (X, d) , which is usually referred to as the minimal $k \in \mathbb{N}$ such that every ball $B(x, r)$ can be covered by at most k balls of radius $r/2$. This leads to the definition of doubling dimension of (X, d) as $K_{(X,d)} = \lceil \log_2(k) \rceil$, which is of significance in metric embedding theory (cf. [2, 3]).

To motivate our goal, let us see what happens for a couple of particular, but significant, examples. For $\alpha > -1$, consider the locally integrable measure $d\mu_\alpha(x) = |x|^\alpha dx$. It is easy to see that μ_α is doubling in \mathbb{R} with the euclidean distance (this also follows from the fact that $|x|^\alpha$ is a weight in the Muckenhoupt class A_∞ [6]). For the interval $I = (-1, 1)$ we obtain that

$$C_{\mu_\alpha} \geq \frac{\mu_\alpha(-2, 2)}{\mu_\alpha(-1, 1)} = 2^{\alpha+1},$$

and with $I = (1, 3)$,

$$C_{\mu_\alpha} \geq \frac{\mu_\alpha(0, 4)}{\mu_\alpha(1, 3)} = \frac{4^{\alpha+1}}{3^{\alpha+1} - 1}.$$

Hence,

$$C_{\mu_\alpha} \geq \max \left\{ 2^{\alpha+1}, \frac{4^{\alpha+1}}{3^{\alpha+1} - 1} \right\} \geq 2,$$

with equality $C_{\mu_\alpha} = 2$ only when $\alpha = 0$; i.e., for the Lebesgue measure. A second example, this time in the most trivial discrete setting, comes when we take a set $X = \{1, 2\}$ with 2 points, any measure μ and any distance d . Then,

$$C_\mu = \max \left\{ 1 + \frac{\mu(\{1\})}{\mu(\{2\})}, 1 + \frac{\mu(\{2\})}{\mu(\{1\})} \right\} \geq 2.$$

In the rest of this paper, we will start by giving, in Section 2, some preliminary results which are of interest in this context. We also show some important properties for spaces of homogeneous type, like the fact that there is no upper bound for C_μ (Proposition 2.4). In particular, we will show that $C_{(X,d)} \geq \varphi = \frac{1+\sqrt{5}}{2}$ (Theorem 2.6), as long as X contains more than one point. In Section 3, we provide several conditions on a metric space (X, d) which guarantee $C_{(X,d)} \geq 2$. In particular, we will show that this holds for spaces with an isolated point (Proposition 3.1), path-connected spaces (Theorem 3.4), ultrametric, and complete spaces (Corollary 3.12). Section 4 is devoted to more general lower bounds for $C_{(X,d)}$, and finally, Section 5 provides explicit values of $C_{(X,d)}$ for specific metric spaces, both in the continuous and the discrete settings (see also [12] for further considerations).

The explicit value of the doubling constant C_μ is of significance in several recent developments in the theory of metric measure spaces (see for instance [1, 4, 11]). We refer the reader to the monographs [7, 8] for background and applications of this theory.

2. PRELIMINARY RESULTS AND A UNIVERSAL LOWER BOUND FOR C_μ

Throughout, we will always assume that (X, d) is a metric space on which doubling measures exist, and that X contains at least 2 points. Moreover, all balls $B(x, r) = \{y \in X : d(x, y) < r\}$ on (X, d) are open sets and we only consider non-trivial measures μ , in the sense that $0 < \mu(B(x, r)) < \infty$, for every $x \in X$ and $r > 0$. Also, for $r \in \mathbb{R}$, as usual we denote

$$\lceil r \rceil = \min\{n \in \mathbb{Z} : n \geq r\} \quad \text{and} \quad \lfloor r \rfloor = \max\{n \in \mathbb{Z} : n \leq r\}.$$

Lemma 2.1. *Let (X, d, μ) be a space of homogeneous type. For every $x \in X$ and $0 < s < r$, we have*

$$\mu(B(x, r)) \leq C_\mu^{\lceil \log_2(\frac{r}{s}) \rceil} \mu(B(x, s)).$$

Proof. Let $n = \lceil \log_2(\frac{r}{s}) \rceil$. This means that

$$2^{n-1}s < r \leq 2^n s.$$

Hence, iterating (1) it follows that

$$\mu(B(x, r)) \leq \mu(B(x, 2^n s)) \leq C_\mu \mu(B(x, 2^{n-1} s)) \leq \dots \leq C_\mu^{\lceil \log_2(\frac{r}{s}) \rceil} \mu(B(x, s)).$$

□

The following result is a quantitative version of [5, Remarque, p. 67]:

Proposition 2.2. *Let (X, d, μ) be a space of homogeneous type. If there exist $x \in X$ and $(y_j)_{j=1}^N \subset B(x, r)$ such that $B(y_j, s) \subset B(x, r)$, for some $0 < s \leq 2r$, and $B(y_j, s) \cap B(y_k, s) = \emptyset$, for every $j, k \in \{1, \dots, N\}$, $j \neq k$, then*

$$N \leq C_\mu^{\lceil \log_2(\frac{2r}{s}) \rceil}.$$

Proof. Let $j_0 \in \{1, \dots, N\}$ be such that

$$\mu(B(y_{j_0}, s)) = \min_{j \in \{1, \dots, N\}} \mu(B(y_j, s)).$$

Since $B(x, r) \subset B(y_{j_0}, 2r)$, it follows that

$$\begin{aligned} N \mu(B(y_{j_0}, s)) &\leq \sum_{j=1}^N \mu(B(y_j, s)) \leq \mu(B(x, r)) \\ &\leq \mu(B(y_{j_0}, 2r)) \leq C_\mu^{\lceil \log_2(\frac{2r}{s}) \rceil} \mu(B(y_{j_0}, s)). \end{aligned}$$

□

The previous result yields the well-known fact that balls in spaces of homogeneous type are totally bounded. For completeness, we include a short proof:

Corollary 2.3. *If (X, d, μ) is a space of homogeneous type, then every ball in X is totally bounded.*

Proof. Let $x \in X$ and $r > 0$. Take any $\varepsilon \in (0, 2r)$. We can inductively construct a sequence of points in $B(x, r)$ which are ε -separated: let $x_0 = x$, and if $B(x, r) \not\subset B(x, \varepsilon)$, let $x_1 \in B(x, r) \setminus B(x, \varepsilon)$. Similarly, if $B(x, r) \not\subset B(x_0, \varepsilon) \cup B(x_1, \varepsilon)$, then let $x_2 \in B(x, r) \setminus (B(x_0, \varepsilon) \cup B(x_1, \varepsilon))$. Following in this way, for each $m \in \mathbb{N}$ either $B(x, r) \subset \bigcup_{i=0}^m B(x_i, \varepsilon)$ or we can pick $x_{m+1} \in B(x, r) \setminus \bigcup_{i=0}^m B(x_i, \varepsilon)$, and keep going.

Since we clearly have that

$$B(x_i, \varepsilon/2) \cap B(x_j, \varepsilon/2) = \emptyset,$$

for $i \neq j$, and

$$B(x_i, \varepsilon/2) \subset B(x, r + \varepsilon/2),$$

for every i , Proposition 2.2 yields that for some $m \leq C_\mu^{1+\lceil \log_2(1+2r/\varepsilon) \rceil}$ we must have

$$B(x, r) \subset \bigcup_{i=0}^m B(x_i, \varepsilon).$$

Thus, $B(x, r)$ is totally bounded, as claimed. \square

We observe next that if we replace, in Definition 1.1, the infimum by the supremum, then we get no interesting information. In fact, we can prove the following:

Proposition 2.4. *If (X, d) is a metric space, containing at least 2 points, then*

$$\sup \{C_\mu : \mu \text{ doubling measure on } (X, d)\} = \infty.$$

Proof. Given a fixed doubling measure μ on (X, d) , we set $\varepsilon = \frac{C_\mu - 1}{2C_\mu} > 0$. Let $x \in X$ and $r > 0$ such that

$$(2) \quad 1 < \frac{C_\mu + 1}{2} = C_\mu(1 - \varepsilon) < \frac{\mu(B(x, 2r))}{\mu(B(x, r))} = 1 + \frac{\mu(B(x, 2r) \setminus B(x, r))}{\mu(B(x, r))}.$$

For $n \in \mathbb{N}$, let us define the function

$$f_n(y) = \begin{cases} n, & \text{if } y \in B(x, 2r) \setminus B(x, r), \\ 1, & \text{otherwise,} \end{cases}$$

and the measure $d\nu_n = f_n d\mu$. Since f_n and $1/f_n$ are bounded functions, we have that ν_n is a doubling measure in (X, d) . Moreover, using (2)

$$\begin{aligned} C_{\nu_n} &\geq \frac{\nu_n(B(x, 2r))}{\nu_n(B(x, r))} = 1 + \frac{\nu_n(B(x, 2r) \setminus B(x, r))}{\nu_n(B(x, r))} \\ &= 1 + n \frac{\mu(B(x, 2r) \setminus B(x, r))}{\mu(B(x, r))} > n \frac{C_\mu - 1}{2} + 1 \xrightarrow{n \rightarrow \infty} \infty. \end{aligned}$$

\square

A point x in a metric space (X, d) is isolated if there is some $r > 0$ such that $B(x, r) = \{x\}$. It is well-known that if (X, d, μ) is a space of homogeneous type, then $\mu(\{x\}) > 0$ if and only if x is isolated [10, Lemma 2]. For completeness, we are going to give another proof of this fact based on a reverse inequality.

Proposition 2.5. *Let (X, d) be a metric space with non-isolated points; that is, the set $A = \{x \in X : x \text{ is non-isolated}\} \neq \emptyset$. If μ is a doubling measure in (X, d) , with constant C_μ , then for every $x \in A$, there exists a decreasing sequence $r_j(x) \downarrow 0$, $j \rightarrow \infty$, such that*

$$(3) \quad \mu(B(x, 4r_j(x))) \geq (1 + C_\mu^{-2})\mu(B(x, r_j(x))).$$

In particular, $\mu(\{x\}) = 0$, for every $x \in A$.

Proof. Let $x \in A$ and pick $x_1 \in X \setminus \{x\}$. Set $r_1 = d(x, x_1)/2 > 0$. Then it is easy to see that

$$(i) \quad B(x_1, r_1) \cap B(x, r_1) = \emptyset,$$

- (ii) $B(x_1, r_1) \subset B(x, 4r_1)$, and
- (iii) $B(x, r_1) \subset B(x_1, 4r_1)$.

Therefore,

$$\begin{aligned} \mu(B(x, 4r_1)) &\geq \mu(B(x_1, r_1)) + \mu(B(x, r_1)) \geq \frac{1}{C_\mu^2} \mu(B(x_1, 4r_1)) + \mu(B(x, r_1)) \\ &\geq (1 + C_\mu^{-2}) \mu(B(x, r_1)). \end{aligned}$$

We now choose $x_2 \in B(x, r_1) \setminus \{x\}$, and define $r_2 = d(x, x_2)/2 < r_1/2 < r_1$. As before, we have

- (i) $B(x_2, r_2) \cap B(x, r_2) = \emptyset$,
- (ii) $B(x_2, r_2) \subset B(x, 4r_2)$, and
- (iii) $B(x, r_2) \subset B(x_2, 4r_2)$.

Thus, we also get

$$\mu(B(x, 4r_2)) \geq (1 + C_\mu^{-2}) \mu(B(x, r_2)).$$

Iterating this process, we obtain $r_j < r_{j-1}/2 < r_1/2^{j-1}$, $j = 2, 3, \dots$, so that $r_j \downarrow 0$ and (3) holds.

Finally, if $x \in A$, then

$$\mu(\{x\}) = \mu\left(\bigcap_{j \in \mathbb{N}} B(x, 4r_j(x))\right) = \lim_{j \rightarrow \infty} \mu(B(x, 4r_j(x))) \geq (1 + C_\mu^{-2}) \mu(\{x\}),$$

which proves that $\mu(\{x\}) = 0$. □

We finish this section finding the greatest (known) lower bound for $C_{(X,d)}$, when X is not a singleton.

Theorem 2.6. *If (X, d) is any metric space with $|X| > 1$, then $C_{(X,d)} \geq \varphi = \frac{1+\sqrt{5}}{2}$.*

Proof. Pick $x, y \in X$ and set $r = d(x, y) > 0$. Take any $\lambda > 0$. Suppose first

$$(4) \quad \mu(B(x, 2r/3)) \leq \lambda \mu(B(y, r/3)).$$

In this case, since $B(x, 2r/3) \cap B(y, r/3) = \emptyset$ and

$$B(x, 2r/3) \cup B(y, r/3) \subset B(x, 4r/3),$$

it follows that

$$C_\mu \mu(B(x, 2r/3)) \geq \mu(B(x, 4r/3)) \geq (1 + 1/\lambda) \mu(B(x, 2r/3)).$$

Thus, in this case, we have $C_\mu \geq 1 + 1/\lambda$.

Similarly, if

$$(5) \quad \mu(B(y, 2r/3)) \leq \lambda \mu(B(x, r/3)),$$

then one also gets $C_\mu \geq 1 + 1/\lambda$. Finally, let us assume that neither (4) nor (5) hold. In that case we have

$$\begin{aligned} C_\mu(\mu(B(x, r/3)) + \mu(B(y, r/3))) &\geq \mu(B(x, 2r/3)) + \mu(B(y, 2r/3)) \\ &> \lambda(\mu(B(y, r/3)) + \mu(B(x, r/3))), \end{aligned}$$

which gives $C_\mu > \lambda$.

Since this works for any $\lambda > 0$, in any case we get

$$C_\mu \geq \sup_{\lambda > 0} \min\{\lambda, 1 + 1/\lambda\}.$$

Optimizing in $\lambda > 0$, the result follows. □

It should be noted that, despite the apparently irrelevant choice of radii of the balls considered in the previous argument (that is, $r/3, 2r/3$, and $4r/3$), any other combination actually yields a weaker estimate.

Remark 2.7. It is interesting to observe that $C_{(X,d)}$ actually depends on the metric d , and is not invariant under homeomorphisms. We give first an example for discrete spaces and afterwards a stronger result in the continuous case:

For the complete graph K_3 , with the standard metric, it is easy to see that $C_{K_3} = 3$ (see Proposition 5.2 for the general case of K_n). If we now label the vertices as $K_3 = \{a, b, c\}$ and define the metric $d(a, c) = d(b, c) = 2$ and $d(a, b) = 1$, then taking the measure $\mu(a) = \mu(b) = 1$ and $\mu(c) = 2$, we get

$$\frac{\mu(B(a, 2r))}{\mu(B(a, r))} = \begin{cases} 1, & \text{if } 0 < r \leq 1/2 \text{ or } r > 2, \\ 2, & \text{otherwise,} \end{cases}$$

and similarly for b . At the vertex c :

$$\frac{\mu(B(c, 2r))}{\mu(B(c, r))} = \begin{cases} 1, & \text{if } 0 < r \leq 1 \text{ or } r > 2, \\ 2, & \text{otherwise.} \end{cases}$$

Thus, we find that $C_{(K_3,d)} \leq C_\mu \leq 2 \neq 3 = C_{K_3}$ (in fact, using Proposition 3.1 we have that $C_{(K_3,d)} = 2$).

In the continuous setting of \mathbb{R} one can even show that there are metrics d_1 and d_2 for which (\mathbb{R}, d_1) and (\mathbb{R}, d_2) are homeomorphic but $C_{(\mathbb{R}, d_1)} < \infty$ and $C_{(\mathbb{R}, d_2)} = \infty$. In fact, taking d_1 to be the euclidean metric and

$$d_2(x, y) = \frac{|x - y|}{1 + |x - y|},$$

we know that the two metrics are topologically equivalent. However, if for $0 < r < 1$ we consider the balls

$$B_{d_2}(x, r) = \left(x - \frac{r}{1-r}, x + \frac{r}{1-r} \right),$$

it is clear that we can find $\left[\frac{\frac{r}{1-r}}{\frac{1/2}{1-1/2}} \right] = \left[\frac{r}{1-r} \right]$ disjoint balls of radius $1/2$ inside $B_{d_2}(0, r)$.

Thus, using Proposition 2.2, if μ were a doubling measure in (\mathbb{R}, d_2) we get

$$C_\mu \geq \left[\frac{r}{1-r} \right]^{1/(3+\log_2 r)} \xrightarrow{r \rightarrow 1^-} \infty,$$

and hence $C_{(\mathbb{R}, d_2)} = \infty$, while $C_{(\mathbb{R}, d_1)} \leq C_{|\cdot|} = 2$, where $|\cdot|$ is the Lebesgue measure (in fact, using Proposition 5.1, we do have the equality $C_{(\mathbb{R}, d_1)} = 2$).

3. ON THE LOWER BOUND $C_{(X,d)} \geq 2$

We study simple conditions on (X, d) which actually imply an improvement of the estimate obtained in Theorem 2.6.

Proposition 3.1. *If (X, d) is a metric space, with $|X| > 1$, which has an isolated point, then $C_{(X,d)} \geq 2$. In particular, this is the case on discrete or finite metric spaces.*

Proof. Let $x \in X$ be an isolated point, and let $r_x = \sup \{r > 0 : B(x, r) = \{x\}\}$. Observe that $0 < r_x < \infty$ and $B(x, r_x) = \{x\}$. Take $\varepsilon \in (0, 1/3)$. By definition of r_x , there is $y \in X$, with $r_x \leq d(x, y) < r_x(1 + \varepsilon)$. Let now μ be a doubling measure on (X, d) . Since $B(y, \frac{r_x(1+\varepsilon)}{2}) \subset B(x, 2r_x) \setminus \{x\}$, it follows that

$$\mu\left(B\left(y, \frac{r_x(1+\varepsilon)}{2}\right)\right) \leq \mu(B(x, 2r_x)) - \mu(\{x\}) \leq (C_\mu - 1)\mu(\{x\}).$$

Now, since $B(y, \frac{r_x(1+\varepsilon)}{2}) \cup \{x\} \subset B(y, r_x(1 + \varepsilon))$, we get

$$\begin{aligned} C_\mu \mu\left(B\left(y, \frac{r_x(1+\varepsilon)}{2}\right)\right) &\geq \mu(B(y, r_x(1 + \varepsilon))) \geq \mu\left(B\left(y, \frac{r_x(1+\varepsilon)}{2}\right)\right) + \mu(\{x\}) \\ &\geq \left(1 + \frac{1}{C_\mu - 1}\right) \mu\left(B\left(y, \frac{r_x(1+\varepsilon)}{2}\right)\right). \end{aligned}$$

Therefore, $C_\mu^2 - C_\mu \geq C_\mu$ and hence $C_\mu \geq 2$. \square

As a complement to Proposition 3.1, we will see next that if a metric space contains a line segment (which, in some sense, is the contrary to having an isolated point), then a different argument yields the same lower bound. Before, we need the following definition.

Definition 3.2. Let $m \in \mathbb{N}$, $r > 0$, $\theta \in (0, 1]$. An (m, r, θ) -configuration is a finite collection of points $(x_i)_{i=0}^{\lceil \theta m \rceil} \subset X$ such that, for every $1 \leq i \leq \lceil \theta m \rceil$, we have

$$(6) \quad r\left(1 + \frac{1}{2m}\right) \leq d(x_0, x_i) \leq r\left(2 - \frac{1}{2m}\right),$$

and for $i, j \in \{1, \dots, \lceil \theta m \rceil\}$, with $i \neq j$,

$$(7) \quad d(x_i, x_j) \geq \frac{r}{m}.$$

Given $\theta \in (0, 1]$, we will say that a metric space (X, d) contains arbitrarily long θ -configurations if, for every $n \in \mathbb{N}$, there exist $m \geq n$, $r_m > 0$ and an (m, r_m, θ) -configuration $(x_i)_{i=0}^{\lceil \theta m \rceil} \subset X$.

Lemma 3.3. Let $\theta \in (0, 1]$. For each $n \in \mathbb{N}$, the equation

$$(8) \quad x^{n+5} - x^{n+4} - \theta 2^{n-1} = 0$$

has a unique solution $x_n > 1$, which satisfies $x_n \xrightarrow[n \rightarrow \infty]{} 2$.

Proof. Let us consider the function $f(x) = x^{n+5} - x^{n+4} - \theta 2^{n-1}$. It is easy to check that f is increasing and unbounded, for $x > 1$, and since $f(1) < 0$, we can define x_n to be the only zero of f in the set $(1, \infty)$. Let us denote $y_n = x_n/2$, so that (8) gives the equality

$$(9) \quad 2^5 y_n^{n+4} = \frac{\theta}{2y_n - 1}.$$

Since $f(2) > 0$, it follows that $1/2 < y_n < 1$, for every n . We now prove that y_n is monotone increasing with n . Indeed, suppose that there exists $n_0 \in \mathbb{N}$, such that $y_{n_0} > y_{n_0+1}$. Then using (9), we get

$$\frac{y_{n_0}^{n_0+4}}{y_{n_0+1}^{n_0+5}} = \frac{2y_{n_0+1} - 1}{2y_{n_0} - 1},$$

which yields

$$1 < \left(\frac{y_{n_0}}{y_{n_0+1}} \right)^{n_0+4} = y_{n_0+1} \frac{2y_{n_0+1} - 1}{2y_{n_0} - 1} < y_{n_0+1} < 1,$$

which is a contradiction. Therefore, the sequence is increasing and we find the limit $\lim_{n \rightarrow \infty} y_n = L \in (1/2, 1]$. But, if $L < 1$, then (9) would imply the equality

$$\frac{\theta}{2L - 1} = 0,$$

which is again contradiction. Thus, $L = 1$ and this finishes the proof. \square

Theorem 3.4. *Let (X, d) be a metric space which contains arbitrarily long θ -configurations, for some $\theta \in (0, 1]$. Then $C_{(X, d)} \geq 2$.*

Proof. Given $k \in \mathbb{N}$, let $m \geq k$ and $r_m > 0$ such that $(x_i)_{i=0}^{\lceil \theta m \rceil} \subset X$ is an (m, r_m, θ) -configuration. Let us see that the collection of balls $\{B(x_i, \frac{r_m}{2m}) : 1 \leq i \leq \lceil \theta m \rceil\}$ and $B(x_0, r_m)$ are pairwise disjoint. Indeed, if there is $z \in B(x_0, r_m) \cap B(x_i, \frac{r_m}{2m})$, then we would have

$$d(x_0, x_i) \leq d(x_0, z) + d(z, x_i) < r_m \left(1 + \frac{1}{2m}\right),$$

which is impossible by (6); similarly, if for $i, j \in \{1, \dots, m\}$, with $i \neq j$, we can find $z \in B(x_i, \frac{r_m}{2m}) \cap B(x_j, \frac{r_m}{2m})$, then we would have $d(x_i, x_j) < r_m/m$, which is a contradiction with (7). Moreover, we clearly have

$$B\left(x_i, \frac{r_m}{2m}\right) \subset B(x_0, 2r_m),$$

for $1 \leq i \leq \lceil \theta m \rceil$. Therefore, for any doubling measure μ on (X, d) , we have

$$(10) \quad \mu(B(x_0, r_m)) + \sum_{i=1}^{\lceil \theta m \rceil} \mu\left(B\left(x_i, \frac{r_m}{2m}\right)\right) \leq \mu(B(x_0, 2r_m)) \leq C_\mu \mu(B(x_0, r_m)).$$

Observe that, for every $1 \leq i \leq \lceil \theta m \rceil$, we also have

$$B(x_0, r_m) \subseteq B\left(x_i, (6m - 1)\frac{r_m}{2m}\right).$$

Indeed, if $y \in B(x_0, r_m)$, then

$$d(y, x_i) \leq d(y, x_0) + d(x_0, x_i) < r_m + r_m \left(2 - \frac{1}{2m}\right) = (6m - 1)\frac{r_m}{2m}.$$

Hence, since μ is a doubling measure, for every $1 \leq i \leq \lceil \theta m \rceil$, using Lemma 2.1 we have

$$(11) \quad \mu(B(x_0, r_m)) \leq \mu\left(B\left(x_i, (6m - 1)\frac{r_m}{2m}\right)\right) \leq C_\mu^{1 + \log_2(6m-1)} \mu\left(B\left(x_i, \frac{r_m}{2m}\right)\right).$$

Thus, putting (10) and (11) together, we get

$$(12) \quad 1 + \sum_{i=1}^{\lceil \theta m \rceil} C_\mu^{-1 - \log_2(6m-1)} \leq C_\mu.$$

Let $n \in \mathbb{N}$ be such that $2^{n-1} < m \leq 2^n$. Thus, for $1 \leq i \leq m$ we have

$$(13) \quad 1 + \log_2(6m - 1) \leq 1 + \log_2(6 \cdot 2^n - 1) < n + 4.$$

Now, (12) and (13) yield

$$C_\mu > 1 + \theta 2^{n-1} \frac{1}{C_\mu^{n+4}}.$$

Hence,

$$C_\mu^{n+5} - C_\mu^{n+4} - \theta 2^{n-1} > 0.$$

Since $C_\mu \geq 1$, we must then have $C_\mu > x_n$, where x_n is the only solution, greater than 1, of the equation $x^{n+5} - x^{n+4} - \theta 2^{n-1} = 0$. Iterating this argument, for any $k \in \mathbb{N}$ and $2^n \geq m \geq k$, we can let $n \rightarrow \infty$ and, by Lemma 3.3, we obtain $x_n \xrightarrow{n \rightarrow \infty} 2$. Therefore, $C_\mu \geq \sup_n x_n = 2$ and thus $C_{(X,d)} \geq 2$. \square

For instance, Theorem 3.4 can be applied whenever (X, d) is path-connected, or even if X contains some continuous curve $\gamma : [0, 1] \rightarrow X$. Also, this can be applied when X has approximate mid-points; i.e., for $x, y \in X$ and $\varepsilon > 0$, there exists $z \in X$ such that $\max\{d(x, z), d(y, z)\} \leq d(x, y)/2 + \varepsilon$.

Proposition 3.5. *Let (X, d, μ) be a space of homogeneous type such that for every $\varepsilon > 0$ there exist $x, y \in X$ such that*

$$\mu(B(x, d(x, y)) \cap B(y, d(x, y))) \leq \varepsilon \mu(B(x, 2d(x, y)) \cap B(y, 2d(x, y))).$$

Then $C_\mu \geq 2$.

Proof. Given $\varepsilon > 0$, let $x, y \in X$ as in the hypothesis, and set $r = d(x, y)$. Since

$$B(x, r) \cup B(y, r) \subset B(x, 2r) \cap B(y, 2r),$$

it follows that

$$\begin{aligned} \mu(B(x, r)) + \mu(B(y, r)) &= \mu(B(x, r) \cup B(y, r)) + \mu(B(x, r) \cap B(y, r)) \\ &\leq (1 + \varepsilon) \mu(B(x, 2r) \cap B(y, 2r)). \end{aligned}$$

Without loss of generality, let us assume $\mu(B(x, r)) \leq \mu(B(y, r))$. Hence, we have

$$C_\mu \geq \frac{\mu(B(x, 2r))}{\mu(B(x, r))} \geq \frac{2}{1 + \varepsilon}.$$

As this holds for every $\varepsilon > 0$, the conclusion follows. \square

In particular, this last result can be applied to show that any doubling measure on the Cantor set satisfies $C_\mu \geq 2$. Actually, we have the following:

Corollary 3.6. *Let (X, d) be a metric space for which there exist $x, y \in X$ with $B(x, d(x, y)) \cap B(y, d(x, y)) = \emptyset$. Then, $C_{(X,d)} \geq 2$.*

Recall that (X, d) is called ultrametric if for every $x, y, z \in X$ we have $d(x, y) \leq \max\{d(x, z), d(y, z)\}$.

Corollary 3.7. *If (X, d) is an ultrametric space with $|X| > 1$, then $C_{(X,d)} \geq 2$.*

Proof. Take any two points $x \neq y$ in X . Note that $B(x, d(x, y)) \cap B(y, d(x, y)) = \emptyset$. Indeed, if $z \in B(x, d(x, y)) \cap B(y, d(x, y))$, then we would have

$$d(x, y) \leq \max\{d(x, z), d(y, z)\} < d(x, y),$$

which is a contradiction. The conclusion follows from Corollary 3.6. \square

For metric spaces with finite diameter we have some natural conditions under which $C_\mu \geq 2$:

Proposition 3.8. *Let (X, d, μ) be a space of homogeneous type with finite diameter $\Delta = \sup\{d(x, y) : x, y \in X\} < \infty$. For $x \in X$, let $\Delta_x = \{z \in X : d(x, z) = \Delta\}$. If for every $\varepsilon > 0$, there exist $x, y \in X$ with $d(x, y) = \Delta$ and $\mu(\Delta_x), \mu(\Delta_y) < \varepsilon$, then $C_\mu \geq 2$.*

Proof. Fix $\varepsilon > 0$ and let $x, y \in X$ as in the statement. Without loss of generality, we can assume $\mu(B(x, \Delta/2)) \leq \mu(B(y, \Delta/2))$. Therefore we have

$$2\mu(B(x, \Delta/2)) \leq \mu(X) = \mu(B(x, \Delta)) + \mu(\Delta_x) \leq C_\mu \mu(B(x, \Delta/2)) + \varepsilon.$$

Note that it also holds that

$$\mu(X) = \mu(B(x, 3\Delta/2)) \leq C_\mu^2 \mu(B(x, \Delta/2)).$$

Hence, putting these together we get

$$C_\mu \geq 2 - \frac{\varepsilon}{\mu(B(x, \Delta/2))} \geq 2 - \frac{C_\mu^2 \varepsilon}{\mu(X)},$$

and letting $\varepsilon \rightarrow 0$ we get the result. \square

Note that Proposition 3.8 in particular applies to the case when $\mu(\Delta_x) = 0$, for every $x \in X$.

For $p \geq 1$ we say that (X, d) is an L^p -metric space if

$$d(x, y)^p \leq d(x, z)^p + d(z, y)^p$$

for all $x, y, z \in X$. Observe that the case $p = 1$ is just the triangle inequality, while $p = \infty$ would correspond to the ultrametric case.

If we allow big gaps between points in X , then we have the following extension of Proposition 3.1.

Definition 3.9. Let (X, d, μ) be a space of homogeneous type. Given $x \in X$, $r > 0$ and $k > 1$, we say the ball $B(x, r)$ is k -isolated if $\mu(B(x, kr)) = \mu(B(x, r))$.

Proposition 3.10. Let (X, d, μ) be an L^p -metric space for some $p \geq 1$. Let $k_p = (\frac{2^p+1}{2^p-1})^{1/p}$ and suppose X properly contains a k_p -isolated ball. Then $C_\mu \geq 2$.

Proof. Let $B(x, r_0)$ be a k_p -isolated ball, with $\mu(B(x, r_0)) < \mu(X)$, and set

$$r_1 = \sup\{r > 0 : \mu(B(x, r)) = \mu(B(x, r_0))\}.$$

Note that

$$\varepsilon_0 = \left(\frac{2^p r_1^p + r_0^p}{2r_1^p} \right)^{1/p} - 1 > 0.$$

Take $\varepsilon \in (0, \varepsilon_0)$. It is easy to see that $r_1 \geq k_p r_0$, $\mu(B(x, r_1)) = \mu(B(x, r_0))$, and there exists $y \in X$ such that $r_1 \leq d(x, y) < r_1(1 + \varepsilon)$.

Let $s^p = d(x, y)^p - r_0^p > 0$ and note that

$$B(y, s) \subset B(x, 2r_1) \setminus B(x, r_0).$$

Indeed, if $d(z, y) < s$, then we have

$$d(z, x)^p \leq d(z, y)^p + d(y, x)^p < 2d(y, x)^p - r_0^p < 2r_1^p(1 + \varepsilon)^p - r_0^p < 2^p r_1^p,$$

where the last inequality follows from our choice of $\varepsilon < \varepsilon_0$. We also have

$$d(z, x)^p \geq d(x, y)^p - d(z, y)^p > d(x, y)^p - s^p = r_0^p.$$

Now since μ is doubling, we have

$$(14) \quad \mu(B(y, s)) \leq \mu(B(x, 2r_1)) - \mu(B(x, r_0)) \leq (C_\mu - 1)\mu(B(x, r_0)).$$

Note that $B(x, r_0) \subset B(y, 2s)$. In fact, if we take $z \in B(x, r_0)$, then

$$d(z, y)^p \leq d(z, x)^p + d(x, y)^p < r_0^p + d(x, y)^p \leq 2^p s^p,$$

where the last inequality follows from the fact that $d(x, y) \geq r_1 \geq k_p r_0$.

Therefore, using (14) we get

$$C_\mu \mu(B(y, s)) \geq \mu(B(y, 2s)) \geq \mu(B(y, s)) + \mu(B(x, r_0)) \geq \left(1 + \frac{1}{C_\mu - 1}\right) \mu(B(y, s)).$$

This yields that $C_\mu \geq 2$ and the proof is finished. \square

Theorem 3.11. *If (X, d) is a metric space such that, for some pair of distinct points $x_0, y_0 \in X$, the closed ball $\{z \in X : d(x_0, z) \leq 2d(x_0, y_0)\}$ is compact, then $C_{(X, d)} \geq 2$.*

Proof. Let $r_0 = d(x_0, y_0)$ and set $K = \{z \in X : d(x_0, z) \leq 2d(x_0, y_0)\}$ be a compact closed ball in X . Suppose first that for every $r \in (r_0/2, r_0)$ there exists $x(r) \in X$ with $d(x_0, x(r)) = r$. In particular, given $m \in \mathbb{N}$, for every $i = 1, \dots, m-1$, we can define

$$x_i^m = x\left(\frac{r_0}{2} \left(1 + \frac{2i+1}{2m}\right)\right).$$

Taking $x_0^m = x_0$, it follows that $(x_i^m)_{i=0}^{m-1}$ is an $(m-1, r_0/2, 1)$ -configuration. The conclusion now follows from Theorem 3.4.

Now, suppose there is $r \in (r_0/2, r_0)$ such that

$$\{z \in X : d(x_0, z) = r\} = \emptyset.$$

Let $A = \{z \in X : d(x_0, z) \leq r\}$ and $B = \{z \in X : r \leq d(x_0, z) \leq 2r_0\}$. Clearly, A and B are non-empty clopen subsets of K such that $A \cap B = \emptyset$. Since A and B are actually compact, there exist $x_A \in A$ and $x_B \in B$ such that

$$d(x_A, x_B) = \inf\{d(x, y) : x \in A, y \in B\} \leq r_0.$$

In this case we have

$$B(x_A, d(x_A, x_B)) \cap B(x_B, d(x_A, x_B)) = \emptyset.$$

Indeed, if $z \in B(x_A, d(x_A, x_B)) \cap B(x_B, d(x_A, x_B))$, then

$$d(x_0, z) \leq d(x_0, x_A) + d(z, x_A) < d(x_0, x_A) + d(x_A, x_B) < 2r_0.$$

Therefore, either $z \in A$ or $z \in B$ which in either case yields a contradiction with the fact that $d(x_A, x_B)$ is minimal. Thus, in this case the conclusion follows from Corollary 3.6. \square

It is worth to observe that we did not use the compactness property in the first part of the previous proof (the existence of the suitable configuration suffices). The following result is then an immediate consequence and shows that, with the reasonable hypothesis of completeness (which is the weakest condition known on a metric space to support a doubling measure), the optimal constant is at least equal to 2:

Corollary 3.12. *If (X, d) is a complete metric space with $|X| > 1$, then $C_{(X, d)} \geq 2$.*

Proof. Take any two distinct points $x_0, y_0 \in X$ and let us define, as before, the closed ball $K = \{z \in X : d(x_0, z) \leq d(x_0, y_0)\}$. Given, any doubling measure μ , using Corollary 2.3, we have that K is totally bounded and, since X is complete, K is actually compact. The conclusion follows from Theorem 3.11. \square

4. MORE GENERAL LOWER BOUNDS FOR $C_{(X,d)}$

In this section, we look for general estimates for $C_{(X,d)}$ under quite natural assumptions. Let us explore first the case when the measure of a ball essentially depends on its radius, and not on where its center is. Recall that (X, d, μ) is called Ahlfors Q -regular, with constant $C \geq 1$, if for every $x \in X$ and $0 < r < \text{diam}(X)$ we have

$$\frac{1}{C}r^Q \leq \mu(B(x, r)) \leq Cr^Q.$$

These spaces play an important role in geometric measure theory (cf. [8]). In particular, if (X, d, μ) is Ahlfors Q -regular, with constant $C \geq 1$, then $C_\mu \geq 2^Q/C^2$. More generally, we have the following:

Theorem 4.1. *Let (X, d, μ) be a space of homogeneous type with $|X| > 1$ and such that for some functions $\phi_1, \phi_2 : \mathbb{R}_+ \rightarrow \mathbb{R}_+$, every $x \in X$ and every $r > 0$, we have*

$$\phi_1(r) \leq \mu(B(x, r)) \leq \phi_2(r).$$

If, in addition, ϕ_2 is right continuous, then

$$C_\mu \geq 2 \sup_{r>0} \frac{\phi_1(r)}{\phi_2(r)}.$$

Proof. Given $x, y \in X$ let

$$r(x, y) = \inf\{s > 0 : B(x, s) \cap B(y, s) \neq \emptyset\}.$$

Clearly, we have

$$\frac{d(x, y)}{2} \leq r(x, y) \leq d(x, y),$$

and

$$B(x, r(x, y)) \cap B(y, r(x, y)) = \emptyset.$$

Now, for every $n \in \mathbb{N}$, we can take $z_n \in B(x, r(x, y) + 1/n) \cap B(y, r(x, y) + 1/n)$. Note that, for each $n \in \mathbb{N}$, we have

$$B(x, r(x, y)) \cup B(y, r(x, y)) \subset B(z_n, 2r(x, y) + 1/n).$$

Therefore,

$$\begin{aligned} 2\phi_1(r(x, y)) &\leq \mu(B(x, r(x, y)) \cup B(y, r(x, y))) \\ &\leq \mu\left(B\left(z_n, 2r(x, y) + \frac{1}{n}\right)\right) \\ &\leq C_\mu \phi_2\left(r(x, y) + \frac{1}{2n}\right). \end{aligned}$$

Since ϕ_2 is right continuous, we have that $\phi\left(r(x, y) + \frac{1}{2n}\right) \xrightarrow{n \rightarrow \infty} \phi(r(x, y))$. Thus, $C_\mu \geq 2\phi_1(r(x, y))/\phi_2(r(x, y))$, and the claim follows. \square

Corollary 4.2. *Let (X, d, μ) be a space of homogeneous type with $|X| > 1$ and such that, for some function $\phi : \mathbb{R}_+ \rightarrow \mathbb{R}_+$, every $x \in X$ and every $r \in \mathbb{R}_+$, we have*

$$\mu(B(x, r)) = \phi(r).$$

Then $C_\mu \geq 2$.

Proof. By outer regularity of μ it follows that $\phi(r) = \mu(B(x, r))$ is right continuous. The conclusion follows from Theorem 4.1. \square

Theorem 4.3. *Let (X, d) be a metric space, $\varepsilon_0 > 0$ and $\varphi : [0, \varepsilon_0) \rightarrow \mathbb{R}_+$ be an increasing continuous function such that for every $\varepsilon \in (0, \varepsilon_0)$ there exist $K > 0$ and $N \in \mathbb{N}$ so that, for every $n \geq N$, we can find distinct points $(x_i)_{i=1}^n \subset X$ with*

$$(15) \quad \max_{i,j \in \{1, \dots, n\}} d(x_i, x_j) \leq Kn^{\varphi(\varepsilon)} \min_{i \neq j} d(x_i, x_j).$$

Then $C_{(X,d)} \geq 2^{1/\varphi(0)}$.

Proof. Given $\varepsilon \in (0, \varepsilon_0)$, let $K > 0$, $N \in \mathbb{N}$ be as above, and for $n \geq N$, let $(x_i)_{i=1}^n \subset X$ be distinct points satisfying (15). Let $r = \min_{i \neq j} d(x_i, x_j)$ and $R = \max_{i,j \in \{1, \dots, n\}} d(x_i, x_j)$. It follows that for every $i, j \in \{1, \dots, n\}$ we have

$$B(x_i, r/2) \subset B(x_j, R + r/2).$$

Thus,

$$\bigcup_{i=1}^n B(x_i, r/2) \subset \bigcap_{j=1}^n B(x_j, R + r/2).$$

Let μ be a doubling measure on (X, d) , and let $i_0 \in \{1, \dots, n\}$ be such that

$$\mu(B(x_{i_0}, r/2)) = \min_{i \in \{1, \dots, n\}} \mu(B(x_i, r/2)).$$

Since $B(x_i, r/2) \cap B(x_j, r/2) = \emptyset$, whenever $i \neq j$, it follows that

$$n\mu(B(x_{i_0}, r/2)) \leq \mu\left(\bigcup_{i=1}^n B(x_i, r/2)\right) \leq \mu\left(\bigcap_{j=1}^n B(x_j, R + r/2)\right) \leq \mu(B(x_{i_0}, R + r/2)).$$

Therefore, by Lemma 2.1 and (15), it follows that

$$n \leq \frac{\mu(B(x_{i_0}, R + r/2))}{\mu(B(x_{i_0}, r/2))} \leq C_\mu^{\lceil \log_2(\frac{R+r/2}{r/2}) \rceil} \leq C_\mu^{\lceil \log_2(2Kn^{\varphi(\varepsilon)+1}) \rceil}.$$

Thus

$$\log_2 C_\mu \geq \frac{\log_2 n}{\lceil \log_2(2Kn^{\varphi(\varepsilon)+1}) \rceil} \geq \frac{\log_2 n}{\log_2(2K+1) + \varphi(\varepsilon) \log_2(n+1)} \xrightarrow{n \rightarrow \infty} \frac{1}{\varphi(\varepsilon)}.$$

Hence, $C_\mu \geq 2^{1/\varphi(\varepsilon)}$ and letting $\varepsilon \rightarrow 0$ we get $C_\mu \geq 2^{1/\varphi(0)}$. \square

Remark 4.4. The previous result can be used to provide an alternative argument for Theorem 3.4. Indeed, suppose that for any $k \in \mathbb{N}$, there exist $m \geq k$ and $r_m > 0$ such that $(x_i)_{i=0}^{\lceil \theta m \rceil} \subset X$ form an (m, r_m, θ) -configuration. It is easy to check that if we set $n = \lceil \theta m \rceil$, then

$$\max_{i,j \leq n} d(x_i, x_j) \leq 2r_m \leq \frac{2}{\theta} n \min_{i \neq j} d(x_i, x_j).$$

Hence, Theorem 4.3 with $\varphi(t) = 1$, for every $t > 0$, yields that $C_{(X,d)} \geq 2$.

Let K_n denote the complete graph with n vertices. We will say that (X, d) contains a copy of K_n if there exist $r > 0$ and $(x_i)_{i=1}^n \subset X$ such that $d(x_i, x_j) = r$, for every $i \neq j$. The following result is an extension of Theorem 2.6, since (X, d) always contains a copy of K_2 .

Theorem 4.5. *If (X, d) contains a copy of K_n for some $n \geq 2$, then $C_{(X,d)} \geq \frac{1+\sqrt{4n-3}}{2}$.*

Proof. Let μ be any doubling measure on (X, d) . Let $(x_i)_{i=1}^n \subset X$ be such that $d(x_i, x_j) = r$, for every $i \neq j$. Fix $\lambda > 0$. Suppose first, that for some $i \in \{1, \dots, n\}$ we have

$$\mu(B(x_i, 2r/3)) < \lambda \sum_{j \neq i} \mu(B(x_j, r/3)).$$

Since for different $j, j' \in \{1, \dots, n\} \setminus \{i\}$ we have

- (i) $B(x_i, 2r/3) \cap B(x_j, r/3) = \emptyset$,
- (ii) $B(x_j, r/3) \cap B(x_{j'}, r/3) = \emptyset$,
- (iii) $B(x_j, r/3) \subset B(x_i, 4r/3)$,

it follows that

$$\begin{aligned} C_\mu \mu(B(x_i, 2r/3)) &\geq \mu(B(x_i, 4r/3)) \geq \mu(B(x_i, 2r/3)) + \sum_{j \neq i} \mu(B(x_j, r/3)) \\ &> (1 + 1/\lambda) \mu(B(x_i, 2r/3)). \end{aligned}$$

Thus, in this case we have $C_\mu > 1 + 1/\lambda$.

Now, suppose that for every $i \in \{1, \dots, n\}$ we have

$$\mu(B(x_i, 2r/3)) \geq \lambda \sum_{j \neq i} \mu(B(x_j, r/3)).$$

Taking the sum over all $i \in \{1, \dots, n\}$, we get

$$C_\mu \sum_{i=1}^n \mu(B(x_i, r/3)) \geq \sum_{i=1}^n \mu(B(x_i, 2r/3)) \geq \sum_{i=1}^n \lambda \sum_{j \neq i} \mu(B(x_j, r/3)).$$

Thus, in this case it follows that $C_\mu \geq \lambda(n-1)$. Hence, we have proved that

$$C_\mu \geq \sup_{\lambda > 0} \min\{1 + 1/\lambda, \lambda(n-1)\}.$$

Optimizing in $\lambda > 0$, the conclusion follows. \square

In particular, if (X, d) contains K_3 or, in other words, an equilateral triangle, then $C_{(X,d)} \geq 2$.

5. EXAMPLES

In this Section we are going to find some explicit values of the constants $C_{(X,d)}$ for a wide range of metric spaces (X, d) . We start with the case of the finite dimensional real spaces with any of the equivalent ℓ^p metrics, $1 \leq p \leq \infty$.

Proposition 5.1. *For every $n \in \mathbb{N}$ and $1 \leq p \leq \infty$, we have that $C_{(\mathbb{R}^n, \|\cdot\|_p)} = 2^n$.*

Proof. If λ denotes the Lebesgue measure we clearly have $C_{(\mathbb{R}^n, \|\cdot\|_p)} \leq C_\lambda = 2^n$. Conversely, given $1 \leq p \leq \infty$, it is an easy geometric fact to observe that there exists a constant $c_p > 0$ such that, for every $k \in \mathbb{N}$, we can find points $x_{j,k} \in \mathbb{R}^n$, $j = 1, \dots, k^n$ satisfying that the collection of balls $\{B_p(x_{j,k}, c_p/k)\}_{j=1, \dots, k^n}$ are pairwise disjoint and $B_p(x_{j,k}, c_p/k) \subset B_p(0, 1)$, where B_p is a ball with respect to the metric $\|\cdot\|_p$.

Hence, Proposition 2.2 yields that, for every doubling measure μ on $(\mathbb{R}^n, \|\cdot\|_p)$, it holds that

$$C_\mu \geq k^{\frac{n}{1 + \log_2(2k/c_p)}} \xrightarrow{k \rightarrow \infty} 2^n.$$

\square

We consider now the case of a simple and connected graph G , as a metric space endowed with the shortest path distance d . We will use the standard notation on G : for finite graphs, n is the number of vertices $V(G)$ and m the cardinality of its edges $E(G)$; for a vertex $v \in V(G)$, $d(v)$ is the degree (the number of neighbors or, equivalently, the cardinality of the sphere $S(v, 1) = \{u \in V(G) : d(v, u) = 1\}$); Δ is the maximum degree of G . For short, we will denote $C_G = C_{(G, d)}$ for the least doubling constant with G equipped with the shortest path distance d .

In general, it is not true that the cardinality measure is always doubling on G . A necessary (but not sufficient) condition for this to happen is that $\Delta < \infty$. Moreover, there are (infinite) graphs G where no doubling measure exists (i.e., $C_G = \infty$), even though G is always a complete metric space. For example, it is easy to see that this is the case for the k -homogeneous tree T_k , $k \geq 3$, since it is not doubling in the metric sense [15].

If G is finite, then for the cardinality measure λ we have that, for every $x \in V(G)$ and $r > 0$

$$\frac{\lambda(B(x, 2r))}{\lambda(B(x, r))} \leq \frac{n}{1}.$$

This, together with Proposition 3.1, gives us that if $n(G) \geq 2$, then $2 \leq C_G \leq n$. It goes without saying that on finite graphs, all measures are doubling (always assuming the restriction that balls should have positive measure).

Proposition 5.2. *Let K_n denote the complete graph with n vertices. Then $C_{K_n} = n$.*

Proof. Let $V = \{x_j : 1 \leq j \leq n\}$ denote the set of vertices of K_n . Given a measure μ on K_n (which is trivially doubling), let $a_j = \mu(\{x_j\})$. Since $\mu(\{x_j\}) = \mu(B(x_j, r))$, for any $0 < r \leq 1$, we have that $a_j > 0$, for every $1 \leq j \leq n$. Let $a_k = \min\{a_j : 1 \leq j \leq n\}$. Then

$$C_\mu \geq \frac{\mu(B(x_k, 3/2))}{\mu(B(x_k, 3/4))} = \frac{\sum_{j=1}^n a_j}{a_k} \geq n.$$

Thus, $n \geq C_{K_n} = \inf C_\mu \geq n$. □

Proposition 5.3. *Let S_n denote the star graph with n vertices; that is, one vertex of degree $n - 1$ and $n - 1$ vertices of degree 1. Then $C_{S_n} = 1 + \sqrt{n - 1}$.*

Proof. Let $V = \{x_j : 1 \leq j \leq n\}$ denote the set of vertices of S_n , with x_1 being the vertex of degree $n - 1$. Given a doubling measure μ on S_n , let $a_j = \mu(\{x_j\}) > 0$ and $a = \sum_{j=1}^n a_j$. We have that

$$\mu(B(x_1, r)) = \begin{cases} a_1, & r \leq 1, \\ a, & r > 1, \end{cases} \quad \text{and} \quad \mu(B(x_j, r)) = \begin{cases} a_j, & r \leq 1, \\ a_j + a_1, & 1 < r \leq 2, \\ a, & r > 2, \end{cases}$$

for $j \neq 1$. Therefore,

$$\sup_{r>0} \frac{\mu(B(x_1, 2r))}{\mu(B(x_1, r))} = \max \left\{ 1, \frac{a}{a_1} \right\} = \frac{a}{a_1},$$

while for $j \neq 1$ we get

$$\sup_{r>0} \frac{\mu(B(x_j, 2r))}{\mu(B(x_j, r))} = \max \left\{ 1, \frac{a_j + a_1}{a_j}, \frac{a}{a_j + a_1} \right\}.$$

Since $\frac{a}{a_j+a_1} < \frac{a}{a_1}$, we have that

$$C_\mu = \max \left\{ \frac{a}{a_1}, \frac{a_j + a_1}{a_j} \right\}.$$

Now, if $a_1 = \min\{a_j : 1 \leq j \leq n\}$, then

$$C_\mu = \frac{a}{a_1} \geq n.$$

Otherwise, suppose $a_{j_0} = \min\{a_j : 1 \leq j \leq n\} < a_1$. Then we want to compute

$$A = \inf \left\{ \max \left\{ \frac{a}{a_1}, \frac{a_{j_0} + a_1}{a_{j_0}} \right\} : 0 < a_{j_0} < a_1 \right\}.$$

If we set $r = \frac{a_1}{a_{j_0}}$ and $s = \frac{\sum_{j \neq 1, j_0} a_j}{a_{j_0}}$, then we get

$$A \geq \inf \left\{ \max \left\{ \frac{s+1}{r} + 1, r+1 \right\} : r > 1, s \geq n-2 \right\}.$$

Note that $\frac{s+1}{r} + 1 > r+1$ if and only if $r < \sqrt{s+1}$. Thus,

$$\begin{aligned} A &\geq \min \left\{ \inf \left\{ \frac{s+1}{r} + 1 : 1 < r < \sqrt{s+1}, s \geq n-2 \right\}, \right. \\ &\quad \left. \inf \left\{ r+1 : r > \sqrt{s+1}, s \geq n-2 \right\} \right\} \\ &\geq 1 + \sqrt{n-1}. \end{aligned}$$

Hence, we get $C_{S_n} \geq 1 + \sqrt{n-1}$.

Conversely, if we consider in V the measure μ given by $\mu(\{x_1\}) = \sqrt{n-1}$ and $\mu(\{x_j\}) = 1$, for $j \neq 1$, we finally get

$$C_{S_n} \leq C_\mu = \max \left\{ \frac{n-1 + \sqrt{n-1}}{\sqrt{n-1}}, \frac{\sqrt{n-1} + 1}{1} \right\} = \sqrt{n-1} + 1.$$

This finishes the proof. \square

Proposition 5.4. *For $n \geq 3$, let C_n denote the n -cycle graph; that is, a connected graph of n vertices all of them with degree 2. Then, $C_{C_n} = 3$.*

Proof. Let $V = \{x_j : 1 \leq j \leq n\}$ denote the set of ordered vertices of C_n . Given any measure μ on C_n , let $a_j = \mu(\{x_j\}) > 0$. Let $1 \leq j_0 \leq n$ such that $a_{j_0} = \min\{a_j : 1 \leq j \leq n\}$. Hence, we have that

$$C_\mu \geq \frac{\mu(B(x_{j_0}, 2))}{\mu(B(x_{j_0}, 1))} = \frac{a_{j_0-1} + a_{j_0} + a_{j_0+1}}{a_{j_0}} \geq 3,$$

(we understand that $j_0 - 1 = n$, if $j_0 = 1$, and $j_0 + 1 = 1$, if $j_0 = n$). Since this holds for any (doubling) measure in C_n , it follows that $C_{C_n} \geq 3$.

For the converse, let $\mu_\#$ be the counting the measure in C_n ; that is, $\mu_\#(\{x_j\}) = 1$, for $1 \leq j \leq n$. We first observe that, on any graph, $B(x, r) = B(x, \lceil r \rceil)$ and hence we only need to consider values of $r > 0$ for which $r \in \mathbb{N}$ or $2r \in \mathbb{N}$. Moreover, since $\mu_\#(B(x, r)) = \min\{2r-1, n\}$, $r \in \mathbb{N}$, then we can easily restrict the radius to the range $1/2 \leq r \leq (n+1)/4$. The important remark for C_n is that if $2r \in \mathbb{N}$, but $r \notin \mathbb{N}$ (e.g., $r = 1/2, 3/2, 5/2, \dots$), then $B(x, r) = B(x, r+1/2)$ and hence we obtain

$$C_{\mu_\#} \geq \frac{\mu_\#(B(x, 2r+1))}{\mu_\#(B(x, r+1/2))} \geq \frac{\mu_\#(B(x, 2r))}{\mu_\#(B(x, r))},$$

showing that we can further reduce the radius of the balls to the simpler condition $r \in \{1, 2, \dots, \lfloor (n+1)/4 \rfloor\}$. Finally, for those values of r :

$$\frac{\mu_{\#}(B(x, 2r))}{\mu_{\#}(B(x, r))} = \frac{4r-1}{2r-1} \leq 3.$$

Therefore, $C_{\mu_{\#}} = 3$ and this finishes the proof. \square

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